

FOI REF: 21/563

16th November 2021

Tel: 0300 131 4500
Website: www.esht.nhs.uk

FREEDOM OF INFORMATION ACT

I am responding to your request for information under the Freedom of Information Act. The answers to your specific questions are as follows:

1. How many Serious Untoward incidents (or SIs) has your trust recorded in 2016-17, 2017-18, 2018-19, 2019-20, 2020-21 and 2021-22 to date?

- 01/04/2016 to 31/03/2017 there were 56 Serious Incidents reported.
- 01/04/2017 to 31/03/2018 there were 43 Serious Incidents reported.
- 01/04/2018 to 31/03/2019 there were 44 Serious Incidents reported.
- 01/04/2019 to 31/03/2020 there were 61 Serious Incidents reported.
- 01/04/2020 to 31/03/2021 there were 39 Serious Incidents reported.
- 01/04/2021 to 02/11/2021 there were 33 Serious Incidents reported.

2. Can you please reveal brief details to me of each SUI incident?

- 01/04/2016 to 31/03/2017 56 SI's. The categories are listed below and to how many incidents for each category.

Treatment Delay	6
Abuse/Alleged abuse of a child by a 3 rd party	1
VTE meeting SI criteria	3
Diagnostic incident, including delay	6
Slip/trip/fall	20
Maternity/Obstetric, baby	3
Surgical/Invasive procedure	2
HCAI/Infection Control incident	6
Major incident	1
Adverse media	3
Confidential Leak/information governance breach	1
Screening issues	1
Sub-optimal care	2
Medical equipment/devices/disposable incident	1

- 01/04/2017 to 31/03/2018 43 SI's. The categories are listed below with how many incidents to each category.

Diagnostic incident	5
Treatment Delay	3
Sub-Optimal care	4
Maternity/Obstetric baby incident	4
Slip/trip/fall	19
Surgical/Invasive Procedure	4
Apparent/actual/suspected self-inflicted harm	1
HCAI	1
Adverse media coverage or public concern about the organisation or the wider Trust	1
Medication error	1

- 01/04/2018 to 31/03/2019 44 SI's. The categories are listed below with how many incidents to each category.

Diagnostic incident including delay	10
Medication error	2
Treatment delay	7
Surgical/Invasive procedure	5
Maternity/Obstetrics Mother only	2
Slip/trip/fall	9
Adverse media coverage or public concern about the organisation or the wider Trust	2
Maternity/Obstetrics – Baby only	3
Confidential Leak/Information Governance breach	1
Sub-optimal care of the deteriorating patient	2
HCAI/Infection Control	1

- 01/04/2019 to 31/03/2020 61 SI's. The categories are listed below with how many incidents to each category.

Treatment delay	9
Pressure Ulcer	2
Diagnostic incident including delay	5
Slip/trip/fall	19
Surgical/Invasive procedure	10
Maternity/Obstetrics – baby only	3
Sub-optimal care of the deteriorating patient	7
Confidential Leak/Information governance breach	1
Maternity/Obstetrics – mother only	2
Screening Issues	1
Medication error	1
Medical Equipment/devices/disposable incident	1

Cont.../

- 01/04/2020 to 31/03/2021 39 SI's. The categories are listed below with how many to incidents to each category.

Maternity/Obstetrics – Baby only	1
Surgical/Invasive procedure	2
Sub-optimal care to the deteriorating patient	1
HCAI/Infection Control incident	8
Slip/trip/fall	13
Abuse/alleged abuse of a child by a 3 rd party	1
Medication error	2
Treatment delay	7
Medical equipment/devices/disposable incident	1
Diagnostic incident including delay	2
Maternity/Obstetric – mother and baby	1

- 01/04/2021 to 02/11/2021 33 SI's. The categories are listed below with how many incidents in each category.

Treatment delay	7
Diagnostic incident including delay	6
HCAI/Infection Control	7
Slip/trip/fall	6
Surgical/Invasive procedure	3
Maternity/Obstetrics – Baby only	3
Abuse/alleged abuse of a child by a 3 rd party	1

3. How many never events have been reported during the years outlined in question 1 and where possible can you reveal details of the nature of these incidents?

01/04/2016 to 31/03/2017 there was 1 Never Event reported.

- Medication Incident x 1

01/04/2017 to 31/03/2018 there were 4 Never Events reported.

- Surgical/Invasive procedure x 4

01/04/2018 to 31/03/2019 there was 1 Never Event reported.

- Surgical/Invasive procedure x 1

01/04/2019 to 31/03/2020 there were 4 Never Events reported.

- Surgical/Invasive procedure x 3
- Blood product/transfusion incident x 1

01/04/2020 to 31/03/2021 there were 5 Never Events reported.

- **Surgical/invasive procedure x 4**
- **Medication Incident x 1**

01/04/2021 to 02/11/2021 there have been no Never Events reported.

If I can be of any further assistance, please do not hesitate to contact me.

Should you be dissatisfied with the Trust's response to your request, please write to the Freedom of Information Department (esh-tr.foi@nhs.net), quoting the above reference.

Yours sincerely

Linda Thornhill (Mrs)
Corporate Governance Manager
esh-tr.foi@nhs.net