

FOI REF: 25/093

26th February 2025

Tel: 0300 131 4500
Website: www.esht.nhs.uk

FREEDOM OF INFORMATION ACT

I am responding to your request for information under the Freedom of Information Act. The answers to your specific questions are as follows:

I am looking for information surrounding CQC inspection and/or Trust risk register actions relating to patient data and recorded risks relating to the availability of patient data (digital and physical data assets). Please find my questions below:

- 1) **How many Clinical IT System/Patient Data risks with a risk score of 16 or above have been recorded on the Trust risk register in Financial Years 2022/23 and 2023/24?**

2022/23 = 0
2023/24 = 2

- 2) **How many Physical Patient Record risks with a risk score of 16 or above have been recorded on the Trust risk register in Financial Years 2022/23 and 2023/24?**

2022/23 = 0
2023/24 = 0

- 3) **How many Datix Incidents did the Trust record in Financial Years 2022/23 and 2023/24?**

2022/23 = 16652
2023/24 = 15667

4) Of these incidents recorded in Datix or other risk-based reporting systems:

a) How many were categorised as no harm?

2022/23 = 12122

2023/24 = 11615

b) How many were categorised as no harm but appointment rescheduled?

2022/23 = 22

2023/24 = 11

c) How many were categorised as low harm (minor harm)?

2022/23 = 4256

2023/24 = 3823

d) How many were categorised as moderate harm?

2022/23 = 238

2023/24 = 184

e) How many were categorised as severe harm (significant harm)?

2022/23 = 31

2023/24 = 35

f) How many were categorised as death (catastrophic)?

2022/23 = 4

2023/24 = 10

g) If your organisation uses different grading criteria or additional categories, could you please specify and provide details?

Not applicable.

5) Could you please provide the total number of incidents reported in Datix or any other risk-based reporting systems for the years 2022/23 and 2023/24 that are specifically related to Digital Patient Records?

2022/23 = 36

2023/24 = 36

6) For the incidents recorded in response to Q5 that are recorded in Datix or other risk-based reporting systems:

a) How many were categorised as no harm?

2022/23 = 32

2023/24 = 34

b) How many were categorised as no harm but appointment rescheduled?

2022/23 = 1

2023/24 = 0

c) How many were categorised as low harm (minor harm)?

2022/23 = 4

2023/24 = 2

d) How many were categorised as moderate harm?

Not applicable.

e) How many were categorised as severe harm (significant harm)?

Not applicable.

f) How many were categorised as death (catastrophic)?

Not applicable.

g) If your organisation uses different grading criteria or additional categories, could you please specify and provide details?

Not applicable.

7) Could you please provide the total number of incidents reported in Datix or any other risk-based reporting systems for the years 2022/23 and 2023/24 that are specifically related to Physical Patient Records?

2022/23 = 98

2023/24 = 142

8) For the incidents listed in response to Q7 that are recorded in Datix or other risk-based reporting systems:

a) How many were categorised as no harm?

2022/23 = 128

2023/24 = 174

b) How many were categorised as no harm but appointment rescheduled?

2022/23 = 0

2023/24 = 0

c) How many were categorised as low harm (minor harm)?

2022/23 = 8

2023/24 = 4

d) How many were categorised as moderate harm?

2022/23 = 0

2023/24 = 0

e) How many were categorised as severe harm (significant harm)?

2022/23 = 0

2023/24 = 0

f) How many were categorised as death (catastrophic)?

2022/23 = 0

2023/24 = 0

g) If your organisation uses different grading criteria or additional categories, could you please specify and provide details?

Not applicable.

9) Has the Trust received any recommendations from the Care Quality Commission (CQC) on resolving the fragmentation or dispersal of patient data and / or medical records across the Trust?

No.

If I can be of any further assistance, please do not hesitate to contact me.

Should you be dissatisfied with the Trust's response to your request, you have the right to request an internal review. Please write to the Freedom of Information Department (esh-tr.foi@nhs.net), quoting the above reference, within 40 working days. The Trust is not obliged to accept an internal review after this date.

Should you still be dissatisfied with your FOI request, you have the right of complaint to the Information Commissioner at the following address:

The Information Commissioner's Office
Wycliffe House
Water Lane
Wilmslow
Cheshire SK9 5AF

Telephone: 0303 123 1113

Yours sincerely

Freedom of Information Department
esh-tr.foi@nhs.net